



## SUPPLIER'S CODE OF CONDUCT

### **Preamble:**

In an effort to reinforce and inspire an environment of high professional standards and business ethics *RIEGL* has hereby summarized its basic professional guidelines for its suppliers' reference and adherence.

### **Company Introduction, Core Competences and Mission & Vision Statement:**

*RIEGL* is an Austrian company conceptualizing, designing, developing and manufacturing laser scanning systems for surveying applications.

For several decades *RIEGL* is offering its customers leading-edge LIDAR solutions for their professional workflows. The *RIEGL* product portfolio comprises of laser scanning systems including associated software licenses for terrestrial, mobile, airborne and UAV-based applications.

At *RIEGL* we strive to offer our customers innovative products for high-end data results. Our sophisticated technology and dedicated customer-orientation are our core competencies making *RIEGL* a unique selling point in its field of expertise.

At *RIEGL* we are driven by the intention to revolutionize and add layers to the LIDAR technology by enhancing the way LIDAR data is captured, conceived, processed and applied to a wide variety of applications and envisage for the future a continuation of the company's historical contribution in the field.

### **A. *RIEGL's* Assurances to its Suppliers:**

In our function as a laser scanning system manufacturer and customer of material, components and parts we would like to assure our suppliers/vendors that:

- a) Unless otherwise stated in the purchase order or in associated correspondence, the (electronic) components ordered by *RIEGL* are in typical cases processed and/or incorporated within a range of our products;
- b) The *RIEGL* products are designed and developed primarily for surveying applications and are intended, within limitations, for worldwide distribution;
- c) we strive to comply with the applicable regulatory framework including but not limited to the applicable embargoes, export controls etc.;
- d) we provide reasonable efforts to check our products' end-use(s) and end-user(s) in compliance with the applicable legal framework, including but not limited to any Austrian, EU and international statutory requirements on export compliance.



**B. The Supplier's Assurances to RIEGL:**

*RIEGL* provides its best efforts to conduct its business in an ethical and proper manner with high business and human right standards, and relies on its suppliers to also conduct their business accordingly. In these terms, *RIEGL* has the following expectations from its suppliers:

**I. Product Information:** *RIEGL* relies on its suppliers that they will proactively keep *RIEGL* informed by providing accurate and complete information in their offers, order acknowledgements and invoices about:

- i. the goods' commodity code;
- ii. the goods' export list no. in case they lie within the scope of EC-Dual-use Regulation No. 428/2009;
- iii. the goods' ECCN no. in case they lie within the scope of EAR or whether they are ITAR restricted;
- iv. The goods' country of origin;

**II. Compliance and Corporate Governance:**

1. The supplier shall safeguard the health & safety of its staff members and ensure a work environment free from discrimination, harassment, bullying/mobbing etc. in compliance with the applicable international standards and national legal framework.
2. The supplier shall provide its best efforts to prevent and report incidents of corruption, unethical behavior or misconduct within its organization. The suppliers should comply with the Rules of Conduct on Combating Extortion and Bribery of the International Chamber of Commerce (ICC) or adopt analogous anti-corruption measures in their business transactions with their counterparties and during performance of any agreements.
3. The supplier shall manage and determine the origin of the materials in its products and ensure that its products do not contain Conflict Minerals from Conflict Mines and impose the same requirements to its supply chain.
4. The supplier shall comply with the RoHS 2011/65/EU regulation concerning the permitted concentration values of hazardous substances within its products.
5. The supplier shall comply with the REACH regulation (EC No 1907/2006) which imposes, among other obligations, to monitor the list of substances that lie within the scope of the REACH as this is displayed at the website of the European Chemical Agency (ECHA) and shall proactively communicate to *RIEGL* any relevant information concerning chemical substances contained within its products.
6. The supplier shall provide reasonable efforts to make sustainable use of the natural resources and waste management in consideration and respect of the local environment.



**III. Confidentiality:** The supplier shall keep all commercial, technical and other details of the business relationship with *RIEGL* that are not part of the public domain or commonly known without breach, confidential and shall not disclose them to third parties for minimum 3 years after their disclosure by *RIEGL*.

The supplier's failure to strictly adhere to the requirements as stated above might not necessarily be seen by *RIEGL* as a basis for breach of contract. Assessment will be done on a case-by-case basis and a failure, omission or delay to enforce strictly the above requirements shall not be considered automatically as a waiver by *RIEGL*.

At *RIEGL* we encourage our suppliers to develop internally and/or to adhere to a code of conduct consisting of high professional standards and business ethics.

**We hereby acknowledge that we have read the foregoing, that we are familiar with the applicable regulatory framework and we will provide our best efforts to comply with the expectations of *RIEGL* as set forth above.**

-----	<b>Name, function:</b> -----
<b>Date, Location</b>	<b>Stamp &amp; signature:</b>

Thank you for supporting *RIEGL* to reinforce and inspire an environment of high professional standards and business ethics.